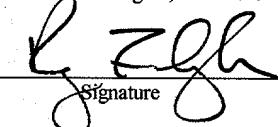




Directors
#3
PATENT
068167.0108

Pursuant to 37 C.F.R. § 1.8, I hereby certify that I have information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on November 5, 2001.



Signature

11-5-01

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Traut, et al. § Group Art Unit: 2181

Serial No.: 09/918,295 / § Examiner: Not yet assigned

Filed: July 30, 2001 §

Invention: Method for Establishing a Drive
Image in a Computer Environment §

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS
— NONPROVISIONAL APPLICATION**

This replies to the Notice to File Missing Parts of Nonprovisional Application mailed September 5, 2001. A copy of the Notice is enclosed.

Enclosed are five pages of drawings that conform to 37 C.F.R. 1.84.

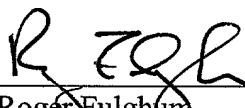
Assertion of Small Entity Status

Small entity status is entitled to be asserted for this application.

Enclosed are the declarations of inventors Eric P. Traut, Aaron S. Giles and Parag Chakraborty for this application.

Enclosed is a check in the amount of \$603.00 for the payment of the filing fee (\$370.00), extra independent claims (4 x \$42 = \$168.00) and \$65.00 for the late filing of the declarations. Applicants hereby authorize the Patent and Trademark Office to charge deposit account number 02-0383 for any other charges necessary for the filing of this response.

Respectfully submitted,



Roger Fulghum
Registration No. 39,678

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910 Louisiana
Houston, TX 77002-4995
(713) 229-1707
Attorney Docket No.: 068167.0108

Date: November 5, 2001



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/918,295	07/30/2001	Eric P. Traut	068167.0108

CONFIRMATION NO. 9879

FORMALITIES LETTER



OC000000006515007

Roger Fulghum
Baker Botts L.L.P.
One Shell Plaza
910 Louisiana Street
Houston, TX 77002-4995

Date Mailed: 09/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$320.
 - \$320 for 4 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1160.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/08/2002 ANAB11 00000058 09918695
01 FC:201
02 FC:202
03 FC:205

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE